

REGION 31 11500 W OLYMPIC BLVD SUITE 600 Los Angeles, CA 90064-1753

Agency Website: www.nlrb.gov Telephone: (310)235-7351 Fax: (310)235-7420 Download NLRB Mobile App

February 23, 2022

Adam C. Abrahms, Attorney at Law Epstein Becker & Green, PC 1925 Century Park East, Suite 500 Los Angeles, CA 90067-2706

Michael Ferrell, Esquire Epstein Becker & Green, P.C. 1925 Century Park East, Suite 500 Los Angeles, CA 90067

> Re: University of Southern California (USC), Pac-12 Conference, and The National Collegiate Athletics Association (NCAA), as joint employers

as joint employers Case 31-CA-290326

Dear Mr. Abrahms, Mr. Ferrell:

Enclosed is a copy of the first amended charge that has been filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney AMANDA LAUFER whose telephone number is (310)307-7337. If the agent is not available, you may contact Supervisory Field Attorney JOANNA SILVERMAN whose telephone number is (310)307-7309.

<u>Presentation of Your Evidence</u>: As you know, we seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations in the first amended charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the

confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

Procedures: Pursuant to Section 102.5 of the Board's Rules and Regulations, parties must submit all documentary evidence, including statements of position, exhibits, sworn statements, and/or other evidence, by electronically submitting (E-Filing) them through the Agency's web site (www.nlrb.gov). You must e-file all documents electronically or provide a written statement explaining why electronic submission is not possible or feasible. Failure to comply with Section 102.5 will result in rejection of your submission. The Region will make its determination on the merits solely based on the evidence properly submitted. All evidence submitted electronically should be in the form in which it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Very truly yours,

Mori Rubin

MORI RUBIN Regional Director

Enclosure: Copy of first amended charge

22/2022 6:34 PM FROM: Staples

TO: +13102357420

P. 2

Form NLRB - 501 (3-21)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

FIRST AMENDED CHARGE AGAINST EMPLOYER INSTRUCTIONS:

DO NOT WRITE IN THIS SPACE
Case Date Filed
31-CA-290326

File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring.				
1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT				
a. Name of Employer #1 (See additional employers in attachment)		b. Tel. No. USC: (213)740-7922 [See Attachment]		
University of Southern California (USC), Pac-12 Conference, and		c. Cell No.		
The National Collegiate Athletics Association (NCAA), as joint employers		C. Gen Nu.		
d. Address (Street, city, state, and ZIP code) USC:	e. Employer Representative USC: Beong-Soo Kirn	f. Fax No.		
3551 Trousdale Parkway, ADM 352,		g. e-mail		
Los Angeles, CA 90089-5013	[See Attachment]	gcoffice@usc.edu [See Attachment]		
	1	h. Number of workers employed		
[See Attachment]		113		
i. Type of Establishment (factory, mine, wholesa USC - University		tics – FBS Football, NCAA Division I Men's and		

1. The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 8(a), subsections (1) of the National Labor Relations Act, and these unfair labor practices are practices affecting commerce within the meaning of the Act, or these unfair labor practices are practices affecting commerce within the meaning of the Act and the Postal Reorganization Act.

2. Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged untair labor practices)

Within the past six months, the above-named employers have interfered with, restrained, and coerced employees in the exercise of rights protected by Section 7 of the Act by repeatedly misclassifying employees as "student-athlete" nonemployees to circumvent the Act and their rights under the Act.

Within the past six months, the above-named employers have interfered with, restrained, and coerced employees in the exercise of rights protected by Section 7 of the Act by maintaining unlawful rules and policies in its handbook, including restricting communications with third parties, in the media, on social media, related to discipline, etc.

 Full name of party filing charge (if labor organization, give National College Players Association 	full name, including local name and number)	
4a. Address (Street and number, city, state, and ZIP code) 6709 Borges St.		4b. Tel. No. (951) 898-0985
Corona, CA 92880		4c. Cell No.
		4d. Fax No.
		4e. e-mail rhuma@ncpanow.org
Full name of national or international labor organization organization) G. DECLARA	` `	d in when charge is filed by a labor
I declare that I have read the above charge and that the statements are true to the best of my knowledge		(951)898-0985
"PA	Ramogi Huma, Executive Director	Office, if any, Cell No.
(signature of representative or person making charge)	(Print/type name and tifle or office, if any)	Fax No.
Address: 6709 Borges St. Corona, CA 92880	Date: 2/10/22	e-mail rhuma@ncpanow.org

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

2/22/2022 6:34 PM FROM: Staples TO: +13102357420 P. 3

Additional Employer Information

Employer #2

a. Name of Employer
Pac-12 Conference

b. Tel. No.
(415) 580-4200
c. Cell No.

d. Address (Street, city, state, and ZIP code)
360 3rd Street, 3rd Floor
San Francisco, CA 94107
i. Type of Establishment (factory, mine, j. Identify Principal Product or Service g. e-mail

Athletic Conference Intercollegiate Athletics – FBS
Football, NCAA Division I Men's and
Women's Basketball
Intercollegiate Athletics – FBS
h. Number of Workers Employed
113

Employer #3

wholesaler, etc.)

a Name of Employer The National Collegiate Athletics Association (NCAA)		b. Tel. No. (317) 917-6222 c. Gell No.
d. Address (Street, city, state, and ZIP code) 1802 Alonzo Watford Senior Drive Indianapolis, IN 46202	e. Employer Representative Scott Bearby	f. Fax No.
i. Type of Establishment (<i>factory, mine,</i> wholesaler, etc.) Athletic Association	j. Identify Principal Product or Service Intercollegiate Athletics – FBS Football, NCAA Division I Men's and Women's Basketball	g. e-mail sbearby@ncaa.org h. Number of Workers Employed 113

University of Southern California (USC),
Pac-12 Conference, and The National
Collegiate Athletics Association (NCAA),
as joint employers
Case 31-CA-290326

cc: Beong-Soo Kim University of Southern California (USC) 3551 Trousdale Parkway, ADM 352 Los Angeles, CA 90089-5013

> Maggy Carlyle Pac-12 Conference 360 3rd Street, 3rd Floor San Francisco, CA 94107

Scott Bearby The National Collegiate Athletics Association (NCAA) 1802 Alonzo Watford Senior Drive Indianapolis, IN 46202

UNITED STATES OF AMERICA

BEFORE THE NATIONAL LABOR RELATIONS BOARD

UNIVERSITY OF SOUTHERN CALIFORNIA (USC)

Charged Party

and

NATIONAL COLLEGE PLAYERS ASSOCIATION

Charging Party

Case 31-CA-290326

AFFIDAVIT OF SERVICE OF FIRST AMENDED CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on February 23, 2022, I served the above-entitled document(s) by regular mail upon the following persons, addressed to them at the following addresses:

Beong-Soo Kim University of Southern California (USC) 3551 Trousdale Parkway, ADM 352 Los Angeles, CA 90089-5013

Adam C. Abrahms, Attorney at Law Epstein Becker & Green, PC 1925 Century Park East, Suite 500 Los Angeles, CA 90067-2706

Michael Ferrell, Esquire Epstein Becker & Green, P.C. 1925 Century Park East, Suite 500 Los Angeles, CA 90067

Maggy Carlyle Pac-12 Conference 360 3rd Street, 3rd Floor San Francisco, CA 94107 Scott Bearby The National Collegiate Athletics Association (NCAA) 1802 Alonzo Watford Senior Drive Indianapolis, IN 46202

February 23, 2022	Jorge Romero, Designated Agent of NLRB
Date	Name
	/s/ Jorge Romero
	Signature



Agency Website: www.nlrb.gov
Telephone: (310)235-7351
Fax: (310)235-7420

Mobil



REGION 31 11500 W OLYMPIC BLVD SUITE 600 Los Angeles, CA 90064-1753

February 23, 2022

Ramogi Huma, Executive Director National College Players Association 6709 Borges St. Corona, CA 92880

> Re: University of Southern California (USC), Pac-12 Conference, and The National

Collegiate Athletics Association (NCAA),

as joint employers Case 31-CA-290326

Dear Mr. Huma:

We have docketed the first amended charge that you filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney AMANDA LAUFER whose telephone number is (310)307-7337. If the agent is not available, you may contact Supervisory Field Attorney JOANNA SILVERMAN whose telephone number is (310)307-7309.

Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. If you have additional evidence regarding the allegations in the first amended charge and you have not yet scheduled a date and time for the Board agent to obtain that evidence, please contact the Board agent to arrange to present that evidence. If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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University of Southern California (USC), Pac-12 Conference, and The National Collegiate Athletics Association (NCAA), as joint employers Case 31-CA-290326

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Very truly yours,

Mori Rubin

MORI RUBIN Regional Director



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Agency Website: www.nlrb.gov Telephone: (310)235-7351 Fax: (310)235-7420 Download NLRB Mobile App

February 23, 2022

Gene Block University of California, Los Angeles (UCLA) Box 951405 2147 Murphy Hall Los Angeles, CA 90095-1405

Maggy Carlyle Pac-12 Conference 360 3rd Street, 3rd Floor San Francisco, CA 94107

Scott Bearby
The National Collegiate Athletics Association (NCAA)
1802 Alonzo Watford Senior Drive
Indianapolis, IN 46202

Re: University of California Los Angeles (UCLA), Pac-12 Conference, and The National Collegiate Athletics Association (NCAA), as joint employers

Case 31-CA-290328

Dear Mr. Block, Ms. Carlyle, Mr. Bearby:

Enclosed is a copy of the first amended charge that has been filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney AMANDA LAUFER whose telephone number is (310)307-7337. If the agent is not available, you may contact Supervisory Field Attorney JOANNA SILVERMAN whose telephone number is (310)307-7309.

<u>Presentation of Your Evidence</u>: As you know, we seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations in the first amended charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

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University of California Los Angeles (UCLA), Pac-12 Conference, and The National Collegiate Athletics Association (NCAA), as joint employers Case 31-CA-290328

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Mori Rubin

MORI RUBIN Regional Director

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TO: +13102357420

P. 4

Form NLRB - 501 (3-21)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

FIRST AMENDED CHARGE AGAINST EMPLOYER INSTRUCTIONS:

DO NOT WRITE IN THIS SPACE		
Case	Date Filed	
31-CA-290328	2/22/2022	

File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring. 1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT			
a. Name of Employer #1 (See additional employers in attachment) University of California, Los Angeles (UCLA), Pac-12 Conference, and The National Collegiate Athletics Association (NCAA), as joint employers		ence, b.	Tel. No. UCLA: (310) 825-2151 [See Attachment] Cell No.
d. Address (Street, city, state, and ZIP code) UCLA: Box 951405, 2147 Murphy Hall Los Angeles, CA 90095-1405 [See Attachment]	UCLA: Gene Block [See Attachment] h.		Fax No. e-mail UCLA: chancellor@ucla.edu [See Attachment] Number of workers employed
i. Type of Establishment (factory, mine, wholesald UCLA — University	Intercollegiate Athl		pal Product or Service late Athletics - FBS Football, NCAA Division I Women's Basketball
I. The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 8(a), subsections (1) of the National Labor Relations Act, and these unfair labor practices affecting commerce within the meaning of the Act, or these unfair labor practices are practices affecting commerce within the meaning of the Act and the Postal Reorganization Act. 2. Basis of the Charge (set forth a clear end concise statement of the facts constituting the alleged unfair labor practices) Within the past six months, the above-named employers have interfered with, restrained, and coerced its employees in the exercise of rights protected by Section 7 of the Act by repeatedly misclassifying employees as "student-athlete" nonemployees to circumvent the Act and their rights under the Act. Within the past six months, the above-named employers have interfered with, restrained, and coerced its employees in the exercise of rights protected by Section 7 of the Act by maintaining unlawful rules and policies in its handbook, including restricting communications with third parties, in the media, etc. 3. Full name of party filing charge (if labor organization, give full name, including local name and number) National College Players Association 4a. Address (Street and number, city, state, and ZiP code) 6709 Borges St. Corona, CA 92880 4d. Fax No.			
rhuma@ncpanow.org 5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization)			
DECLARATION I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief.			
(signature of representative or person making charge) Ramogi Huma, Execu (Print/type name and title or			
Address: 6709 Borges St. Corona, CA 92880	Pater	16/22	o mail

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
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2/22/2022 6:34 PM FROM: Staples TO: +13102357420 P. 5

Additional Employer Information

Employer #2

a. Name of Employer Pac-12 Conference		b. Tel. No. (415) 580-4200 c. Cell No.	
d. Address (Street, city, state, and ZIP code) 360 3rd Street, 3rd Floor San Francisco, CA 94107	e. Employer Representative Maggy Carlyle	f. Fax No.	
Type of Establishment (factory, mine, wholesaler, etc.) Athletic Conference	j. Identify Principal Product or Service Intercollegiate Athletics - FBS Football, NCAA Division I Men's and Women's	g. e-mail h. Number of Workers Employed	
	Basketball	113	

Employer #3

a. Name of Employer The National Collegiate Athletics Association (NCAA)		b. Tel. No. (317) 917-6222 c. Cell No.
d. Address (Street, city, state, and ZIP code) 1802 Alonzo Watford Senior Drive Indianapolis, IN 46202	e. Employer Representative Scott Bearby	f. Fax No.
i. Type of Establishment (factory, mine, wholesaler, etc.) Athletic Association	j. Identify Principal Product or Service Intercollegiate Athletics - FBS Football, NCAA Division I Men's and Women's Basketball	g. e-mail sbearby@ncaa.org h. Number of Workers Employed

UNITED STATES OF AMERICA

BEFORE THE NATIONAL LABOR RELATIONS BOARD

UNIVERSITY OF CALIFORNIA LOS ANGELES (UCLA), PAC-12 CONFERENCE, AND THE NATIONAL COLLEGIATE ATHLETICS ASSOCIATION (NCAA), AS JOINT EMPLOYERS

Case 31-CA-290328

Charged Party

and

NATIONAL COLLEGE PLAYERS ASSOCIATION

Charging Party

AFFIDAVIT OF SERVICE OF FIRST AMENDED CHARGE AGAINST EMPLOYER

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Maggy Carlyle Pac-12 Conference 360 3rd Street, 3rd Floor San Francisco, CA 94107

Scott Bearby
The National Collegiate Athletics Association
(NCAA)
1802 Alonzo Watford Senior Drive
Indianapolis, IN 46202

February 23, 2022	Jorge Romero, Designated Agent of NLRB
Date	Name
	/s/ Jorge Romero
	Signature



Agency Website: www.nlrb.gov E Telephone: (310)235-7351 Fax: (310)235-7420 M



February 23, 2022

Ramogi Huma, Executive Director National College Players Association 6709 Borges St. Corona, CA 92880

11500 W OLYMPIC BLVD

Los Angeles, CA 90064-1753

REGION 31

SUITE 600

Re: University of California Los Angeles

(UCLA), Pac-12 Conference, and The National Collegiate Athletics Association

(NCAA), as joint employers

Case 31-CA-290328

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University of California Los Angeles (UCLA), Pac-12 Conference, and The National Collegiate Athletics Association (NCAA), as joint employers Case 31-CA-290328

- 2 -

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Very truly yours,

Mori Rubin

MORI RUBIN Regional Director